## LATS RECOPCTIPTO 02 MAY 2008

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER NL 031288 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/IB2004/052257 November 2, 2004 November 6, 2003 TITLE OF INVENTION DICHROIC GUEST-HOST POLARIZER COMPRISING AN ORIENTED POLYMER FILM APPLICANT(S) FOR DO/EO/US Johan LUB; Emiel PEETERS; Dirk Jan BROER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. 🔽 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau.

Items 11 to 20 below concern document(s) or information included:

have not been made and will not be made.

An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. 🗸 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

have not been made; however, the time limit for making such amendments has NOT expired.

An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

An English language translation of the annexes of the International Preliminary Examination Report under PCT

13. 🗹 A preliminary amendment.

10. İ

14. An Application Data Sheet under 37 CFR 1.76.

Article 36 (35 U.S.C. 371(c)(5)).

A substitute specification.

A power of attorney and/or change of address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

8. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. Cher items or information: Express Mail Certificate; PTO/SB/96; Receipt Confirmation Postcard

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Page 1 of 2

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| U.S. APPLICAT  | PLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/IB2004/052257   |                                  |   | ATTORNEY'S DOCKET NUMBER NL 031288 |                        |              |  |  |
|--|--|----------------------------------|---|------------------------------------|------------------------|--------------|--|--|
| The fol  | The following fees have been submitted   |                                  |   |                                    |                        |              |  |  |
|  | -  |                                  |   | <b>\$</b> 200                      | \$ 200.00              | PTO USE ONLY |  |  |
|  |  |                                  |   |                                    | 300.00                 |              |  |  |
| If International p<br>PCT Article 3:   | mination fee<br>oreliminary examin<br>3(1)-(4)   | \$ 200.00                        |   |                                    |                        |              |  |  |
| Search fee (37 C<br>Internatio<br>International Sea  | orch fee<br>CFR 1.445(a)(2)) h<br>onal Searching Aut<br>arch Report prepar<br>ns   | \$ 400.00                        |   |                                    |                        |              |  |  |
|  | TOTAL OF 21, 2   | 2 and 23 =                       |   |                                    | \$ 900.00              |              |  |  |
| Additional fe sequence lis   | ee for specification sting or computer 6250 for each addit   |                                  |   |                                    |                        |              |  |  |
| Total Sheets   | Extra Sheets   |                                  | h additional 50 or fraction up to a whole number)   | RATE                               |                        |              |  |  |
| - 100 =  | /50 =  | =                                |   | × \$250                            | \$                     |              |  |  |
| Surcharge of \$13 claimed priority of  | 30.00 for furnishing<br>date (37 CFR 1.49)   | g the oath or decla<br>32(h)).   | aration later than 30 months                        |                                    | \$                     |              |  |  |
| CLAIMS   | NUME   | BER FILED                        | NUMBER EXTRA  | RATE                               | \$                     |              |  |  |
| Total claims   | 15   | - 20 =                           |   | × \$ 50                            | \$ 0.00                |              |  |  |
| Independent clair  | ims 3  | - 3 =                            |   | × \$200                            | \$ 0.00                |              |  |  |
| MULTIPLE DEP   | ENDENT CLAIM(S   | S) (if applicable)               |   | + \$360                            | \$                     |              |  |  |
|  |  |                                  |   | E CALCULATIONS =                   | \$ 0.00                |              |  |  |
| Applicant cla  | ims small entity sf  | tatus. See 37 CFP                | R 1.27. Fees above are redu                         | ced by 1/2.                        |                        |              |  |  |
|  |  |                                  |   | SUBTOTAL =                         | \$ 900.00              |              |  |  |
|  | of <b>\$130.00</b> for furnis<br>date (37 CFR 1.492  |                                  | translation later than 30 mon                       | nths from the earliest<br>+        | \$                     |              |  |  |
|  |  |                                  |   | L NATIONAL FEE =                   | \$ 900.00              |              |  |  |
| Fee for recording by an appropriate  | the enclosed ass<br>cover sheet (37  | ignment (37 CFR CFR 3.28, 3.31). | 1.21(h)). The assignment mu<br>\$40.00 per property | ust be accompanied<br>+            | \$ 40.00               |              |  |  |
|  |  |                                  | TOTAL   | FEES ENCLOSED =                    | \$ 940.00              |              |  |  |
|  |  |                                  |   |                                    | Amount to be refunded: | \$           |  |  |
|  | <u> </u>   | <del></del>                      |   |                                    | Amount to be charged:  | \$ 940.00    |  |  |
| a. A checi   | k in the amount of   | f\$                              | to cover the ab                                     | ove fees is enclosed.              |                        | I            |  |  |
| b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.   |  |                                  |   |                                    |                        |              |  |  |
| c. The Cor   | c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 A duplicate copy of this sheet is enclosed. |                                  |   |                                    |                        |              |  |  |
| d. Fees an   |  |                                  |   |                                    |                        |              |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. |  |                                  |   |                                    |                        |              |  |  |
|  | RESPONDENCE  |                                  | on to ponding outlier.                              | melle Ta                           | ign (vg no.sglu) t     | For          |  |  |
| Co   | roorate Pater  | <del>g~(-) /</del>               |   |                                    |                        |              |  |  |
| Corporate Patent Counsel  Philips Electronics North America Corporation  Daniel J. Piotrov   |  |                                  |   |                                    | vski                   |              |  |  |
| P O Box 3001   |  |                                  |   |                                    |                        |              |  |  |
| Briarcliff Manor, NY 10510  42,079  REGISTRATION NUMBER  |  |                                  |   |                                    |                        |              |  |  |
| REGISTRATIC  |  |                                  |   |                                    | JN NOWREK              |              |  |  |

## 10/578062 IAP12 Rec'd PCT/PTO 02 MAY 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of | Atty. Docket   |
|----------------------|----------------|
| LUB et al.           | NL 031288      |
| Serial No.           | Group Art Unit |
| Filed: CONCURRENTLY  | Ex.            |

DICHROIC GUEST-HOST POLARIZER COMPRISING AN ORIENTED POLYMER FILM

|   | CERTIFICATE OF EXPRESS MAILING |  |  |  |  |
|---|--------------------------------|--|--|--|--|
| [X] Express Mail Mail   | ing Label No.                  |  |  |  |  |
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| Date of Deposit:  | 5-2-06                         |  |  |  |  |
| I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, PO Box, 1450, Alexandria, VA 22313-1450 |                                |  |  |  |  |
| Jeanne Rusciano<br>Typed Name   | Signature June france          |  |  |  |  |
|   |                                |  |  |  |  |